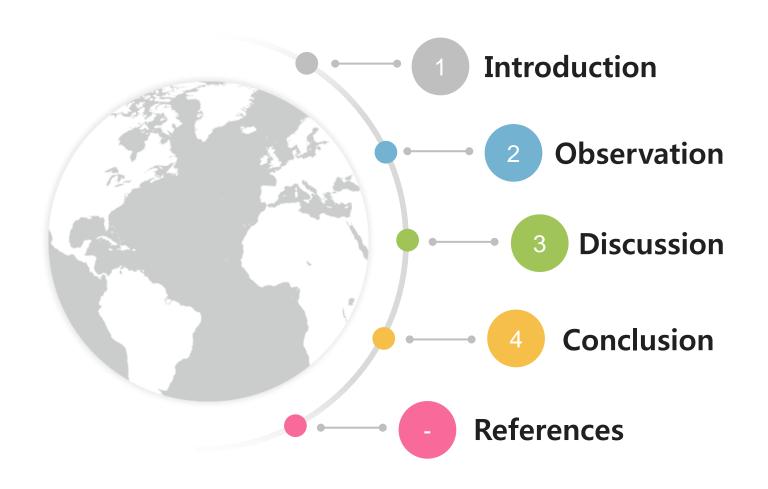


# **Propos**als to the Regulatory Scoping Exercise for MASS

: With a Focus on SOLAS Convention

Team Sea Fungus

### Contents





## Strategic Direction

A 30-RES.1110, Strategic Plan



## Strategic Direction

A 30-RES.1110, Strategic Plan



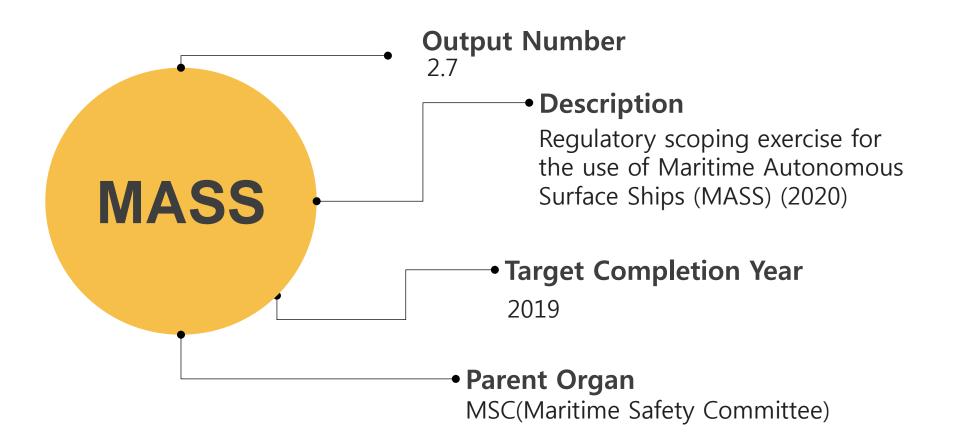
#### Korea Maritime Institute

Survey on how to effectively implement the IMO Strategic Direction

**Priority Rank #4** 

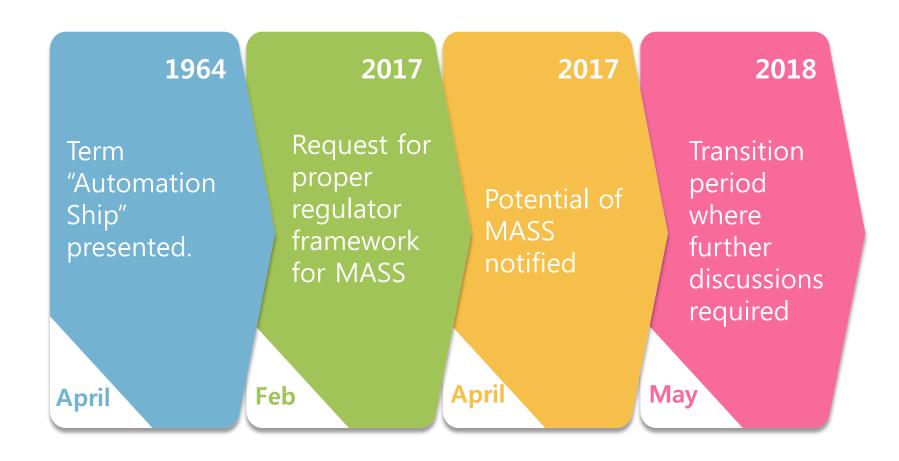
## Strategic Direction

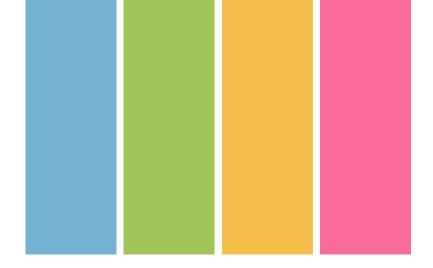
A 30-RES.1110



### History of MASS

IMCO Conference, MSC 98-20-2, MSC 98-INF.13, MSC 99/WP.9





### Current Trend of MASS

MSC 99/WP.9 - Report of the Working Group, MSC 99/5/12

Ships with decision support system(s) that permit

taking over control and/or management of the system or ship, Autonomous irrespective of whether control is exerted from on board or off-board.

Maritime

Surface

Ship

- Encompass Navigation system
- Engine Control
- Cargo Handling & Control
- Emergency Situation
- Others

Shipboard System & Functions

Person competent to perform the designated Duties and Responsibilities on board the ship

**Seafarers** 

## Regulatory Scoping Exercise

MSC 99/WP.9 - Report of the Working Group

**AIM** 

to determine how safe, secure and environmentally sound MASS operations might be addressed in IMO instruments

**Objective** 

to assess the degree to which the existing regulatory framework under its purview may be affected in order to address MASS operations

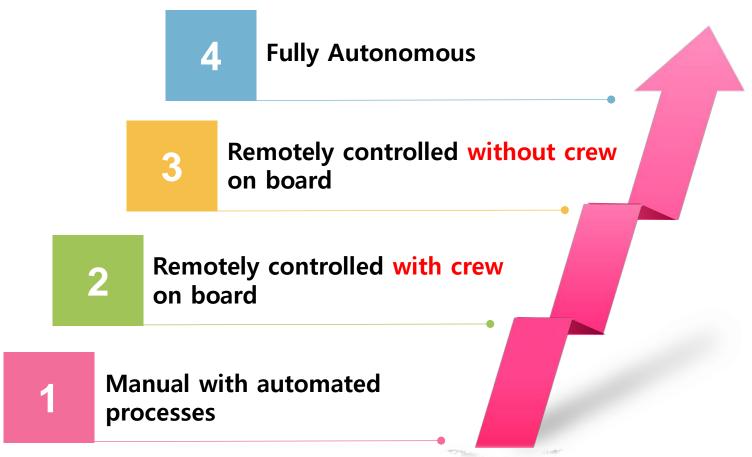
Instruments
(Appendix 1)

COLREG 1972, CSC 1972, LL 1966, LL PROT 1988, SAR 1979, SOLAS 1974, SOLAS AGR 1996, SOLAS PROT 1978, SOLAS PROT 1988, SPACE STP 1973, STCW 1978, STCW-F 1995, STP 1971, TONNAGE 1969

## RSE Methodology

MSC 99/WP.9 - Report of the Working Group - 1. Introduction

### **Level of Autonomy**



## RSE Methodology

MSC 99/WP.9 - Report of the Working Group

### **Mass Application**

1

- Apply to MASS
- Preclude to MASS operations

2

- Apply to MASS
- Do not Preclude Mass Operations
- Require no Actions

3

- Apply to MASS
- Do not Preclude Mass Operations
- Need to be Amended

4

 Have no Application to MASS operations

## Possible way of addressing MASS operations

1

• Equivalences as provided for by the Instruments

2

 Amending existing Instruments

3

• Developing new Instruments

4

None of the above

### Plan of work for RSE

MSC 99/WP.9 - Report of the Working Group - Appendix 3

TASK	MSC 99 WG	ICG	MSC 100 WG	MSC 101 WG
Framework	X		X	
Consolidated Document			X	
Correspondence Group		X		
First Step			X	$X_1$
Second Step				$X_1$
Interim Guidelines	$\chi_2$		$X_3$	

**Definition of Number next to 'X'** 

- 1. If required
- 2. Invite submissions
- 3. Consideration

### Plan of work for RSE

MSC 99/WP.9 - Report of the Working Group - Appendix 3

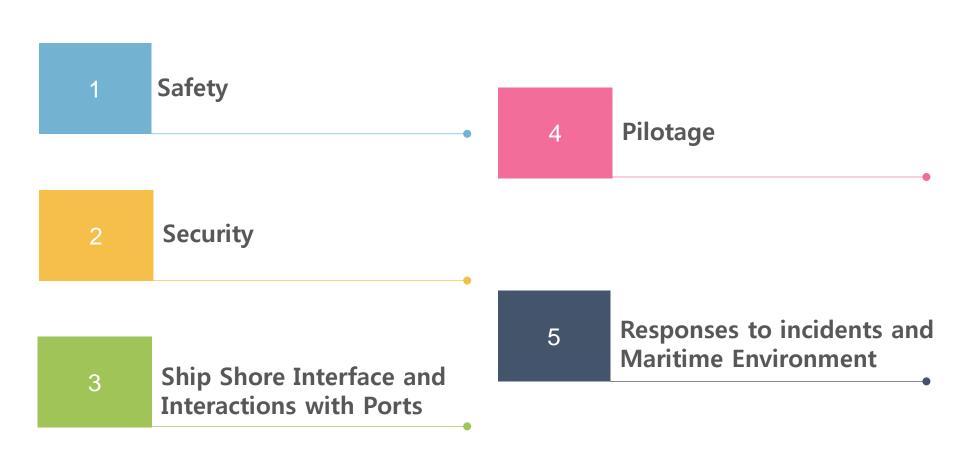
TASK	ICG/WG	MSC 102 WG	MSC 103 WG
Framework			
Consolidated Document			
Correspondence Group			
First Step	$X_1$	$X_1$	
Second Step	$X_1$	X	$X_1$
Interim Guidelines			

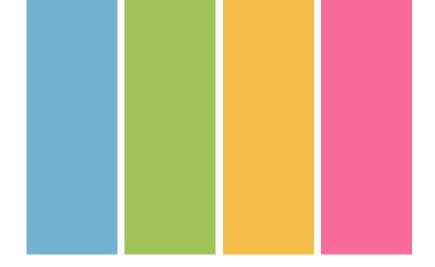
**Definition of Number next to 'X'** 

- 1. If required
- 2. Invite submissions
- 3. Consideration

## Factors that affect Maritime Organizations

MSC 99/5/10 - General comments on a way forward (ITF)

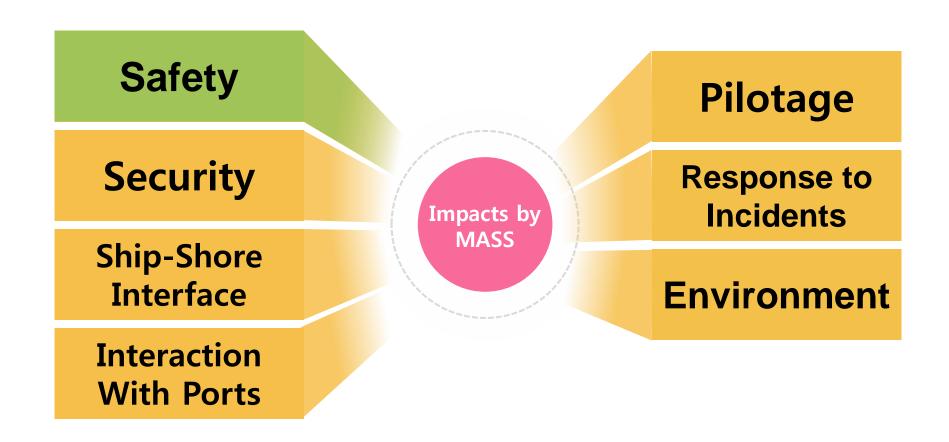




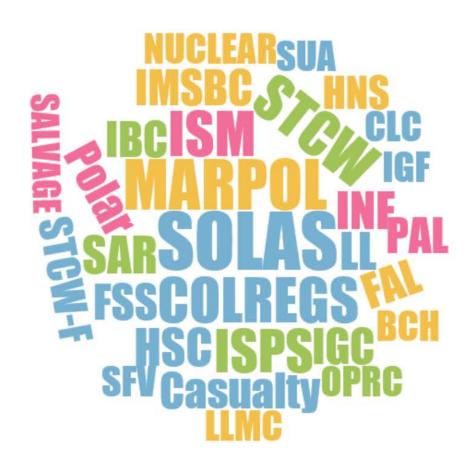
### 3. Discussion

#### 3. Discussion

## Impacts by MASS



### Amendments on Conventions & Codes



### 3. Discussion

### Amendments on Conventions & Codes

MARPOL Annex I / Reg. 37	SOPEP	
STCW Convention & Codes Chapter VIII, VIII/2	Watchkeeping & Additional	
International Convention on Load Lines. Annex I, Ch. II, Reg. 10	The term of "Master"	
SAR. Ch. V	Ship reporting systems	
International Safety Management Code. Part A, Ch. 6	Resources and personnel	
INF Code. Ch. 10	Shipboard Emergency Plan	
COLREGS Interaction be	etween autonomous and conventional ships	

### Amendments on Conventions & Codes



SOLAS (The International Convention for the Safety of Life at Sea) Convention

### Development based on new convention: Safety of Unmanned Ship

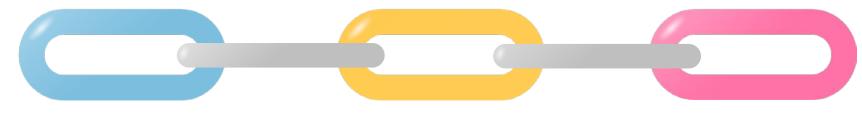
• The basic structure of USS will be **same as SOLAS**(Safety of Life At Sea)

- **UNMANNED** Ships (Degree 3, 4) will be applied to
  - Safety of Unmanned Ship convention

MANNED Ships (Degree of autonomy 1, 2) will be applied to
 SOLAS convention

SOLAS (The International Convention for the Safety of Life at Sea) Convention

Amendment #1
SOLAS (Safe Of Life At Sea) / Chapter III / Reg. 10
Manning of survival craft and supervision



01.

This regulation applies to **all ships** 

02.

There shall be a sufficient number of

## trained persons on board

for mustering and assisting untrained persons

03.

There shall be a sufficient number of crew members, who may be deck officers or certificated

persons...

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SOLAS (The International Convention for the Safety of Life at Sea) Convention

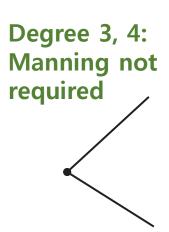


**SOLAS (Safe Of Life At Sea)** 

Chapter III / Reg. 10

Manning of survival craft and

supervision



Degree 1, 2

Safety of Unmanned Ship

Be Retained

SOLAS (The International Convention for the Safety of Life at Sea) Convention

Amendment #2 SOLAS (Safe Of Life At Sea) / Chapter IV / Reg. 16 Radio personnel



01.

**Every ship** shall carry **personnel** qualified for distress and safety

### radiocommunication

purposes to the satisfaction of the Administration...

02.

In passenger ships, at least one person qualified in accordance...

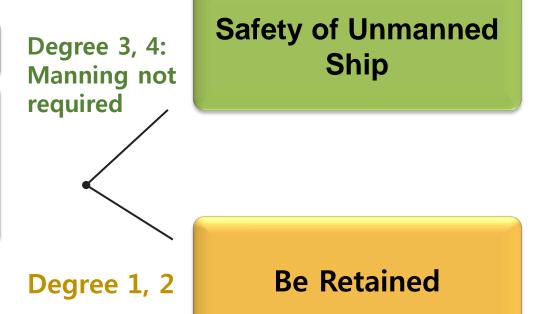
SOLAS (The International Convention for the Safety of Life at Sea) Convention



SOLAS (Safe Of Life At Sea)

Chapter IV / Reg. 16

Radio Personnel



SOLAS (The International Convention for the Safety of Life at Sea) Convention

Amendment #3
SOLAS (Safe Of Life At Sea) / Chapter V / Reg. 12
Vessel traffic services



Vessel traffic services (VTS) contribute to...

Contracting Governments undertake to arrange for...

Contracting Governments planning and implementing VTS shall...

(Resolution A.857(20))

# Proposals to SOLAS Convention SOLAS (The International Convention for the Safety of Life at Sea) Convention

Amendment #3 Resolution A.857(20) **GUIDELINES FOR VESSEL TRAFFIC SERVICES** 

### 2.3.4 When the VTS is authorized to issue instructions

to vessels, these instructions should be result-oriented only, leaving the details of execution, such as course to be steered or engine maneuvers to be executed, to the master or pilot on board the vessel. Care should be taken that VTS operations

do not encroach upon the master's responsibility for safe navigation or disturb the traditional relationship between master and pilot.

SOLAS (The International Convention for the Safety of Life at Sea) Convention



Resolution A.857(20)

**GUIDELINES FOR VESSEL** 

TRAFFIC SERVICES

Degree 3, 4: Instruction to onshore control center

Degree 1, 2

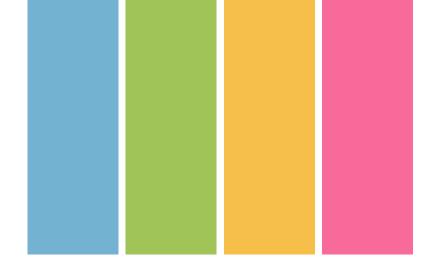
Safety of Unmanned Ship

**Be Retained** 

### < Safety of Unmanned Ship >

- Amendment #1 (Manning of survival craft and supervision)
  - 1. Manning of survival craft and supervision is not required for vessel with degree of autonomy 3 and 4.
- Amendment #2 (Radio Personnel)
  - 1. The person who is **responsible** to vessel's radiocommunication which is **degree of autonomy 3** should be **qualified for distress and safety radiocommunication** purposes to the satisfaction of the Administration.
  - 2. In case of vessel which is **degree of autonomy 4** is **excepted on this clause.**
- Amendment #3 (GUIDELINES FOR VESSEL TRAFFIC SERVICES)
  - 1. When the VTS is authorized to issue instructions to vessels which is degree of autonomy 3 and 4, these instructions should be given to onshore control center.

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## 4. Conclusion

# Finalized Regulatory Scoping Exercise MSC 99/WP.9 - Report of the Working Group - Annex 1, Appendix 2

References Degree	Degrees of Autonomy	Mass of Application	Possible way of addressing MASS Operations
1	<ul> <li>Ship with Automated</li> <li>&amp; Decision Support</li> </ul>	<ul><li>Apply to MASS</li><li>Preclude to MASS operations</li></ul>	None of the above
2	<ul> <li>Remotely Controlled Unmanned Vessel</li> </ul>	<ul> <li>Apply to MASS</li> <li>Do not Preclude Mass Operations</li> <li>Require no Actions</li> </ul>	• Developing new Instruments
3	<ul> <li>Remotely Controlled Unmanned Vessel</li> </ul>	<ul> <li>Apply to MASS</li> <li>Do not Preclude Mass Operations</li> <li>Need to be Amended</li> </ul>	Amending existing     Instruments
4	• Fully Autonomous Vessel	<ul> <li>Have no Application to MASS operations</li> </ul>	<ul> <li>Equivalences as provided for by the Instruments</li> </ul>

# Finalized Regulatory Scoping Exercise MSC 99/WP.9 - Report of the Working Group - Annex 1, Appendix 2

	First step		Second step		
Provision	Degree of autonomy	MASS application	Possible way of addressing MASS operations	Comments/Remarks	
Chapter III / Reg. 10	.1	.2	.4	Should be Retained	
	.2	.2	.4	Should be retained	
	.3	.1	.3	Manning of survival craft and supervision is not required	
	.4	.1	.3	Manning of survival craft and supervision is not required	
Chapter IV / Reg. 16	.1	.2	.4	Should be retained	
	.2	.2	.4	Should be retained	
	.3	.1	.3	People who is charged of vessel's communication should be qualified	
	.4	.1	.3	Vessel is not required for people who is qualified	
Chapter V / Reg. 12	.1	.2	.4	Should be retained	
	.2	.2	.4	Should be retained	
	.3	.1	.3	VTS should give instructions to control center onshore	
	.4	.1	.3	VTS should give instructions to control center onshore	

### References

- [1] International Maritime Organization, "Resolution A.1110(30). STRATEGIC PLAN FOR THE ORGANIZATION FOR THE SIX-YEAR PERIOD 2018 to 2023". 08-12-2017.
- [2] MARITIME SAFETY COMMITTEE, "REGULATORY SCOPING EXERCISE FOR THE USE OF MARITIME AUTONOMOUS SURFACE SHIPS (MASS) Report of the Working Group", presented at the MSC 99/WP.9., MARITIME SAFETY COMMITTEE, 2018.
- [3] MARITIME SAFETY COMMITTEE, "REGULATORY SCOPING EXERCISE FOR THE USE OF MARITIME AUTONOMOUS SURFACE SHIPS (MASS) Comments on document MSC 99/5/5", presented at the MSC 99/5/12, MARITIME SAFETY COMMITTEE, 2018.
- [4] MARITIME SAFETY COMMITTEE, "REGULATORY SCOPING EXERCISE FOR THE USE OF MARITIME AUTONOMOUS SURFACE SHIPS (MASS) Recommendations on identification of potential amendments to existing IMO instruments", presented at the MSC 99/5/3, MARITIME SAFETY COMMITTEE, 2018.
- [5] RESOLUTIONs / Assembly / 20th Session / Res.A.857(20). GUIDELINES FOR VESSEL TRAFFIC SERVICES. 1997.
- [6] International Maritime Organization, SOLAS(Safety Of Life At Sea) 1974. 1980.
- [7] H. S. Park, H. C. Lee, H. J. Lee and B. R. Kim, "A Study on the Sustainable Development Strategic Plan of the IMO". Korea Maritime Institute, 2017.

